Docket No.: 064C

## CERTIFICATION OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

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Signature Date

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applic. No.

10/010,246

Confirmation No: 5866

Applicant

Robert Sixto, Jr. et al. December 6, 2001

Filed Art Unit

3731

Title

Surgical Clips Particularly Useful in the Endoluminal Treatment of

Gastroesophageal Reflux Disease (GERD)

Docket No.

064C

Customer No.:

27316

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents Alexandria, VA 22313-1450

## Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

- U.S. Patent No. 266,632 dated October 31, 1882 to McCormick;
- U.S. Patent No. 1,756,670 dated April 29, 1930 to Treat;
- U.S. Patent No. 2,246,495 dated June 1941 to Alessi et al.;
- U.S. Patent No. 3,851,359 dated December 1974 to Wilson;
- U.S. Patent No. 4,044,429 dated August 1977 to Wagner;
- U.S. Patent No. 4,346,869 dated August 1982 to MacNeill;
- U.S. Patent No. 4,390,019 dated June 1983 to LeVeen et al.;
- U.S. Patent No. 4,430,997 dated February 1984 to DiGiovanni et al.;
- U.S. Patent No. 4,512,345 dated April 23, 1985 to Green;
- U.S. Patent No. 4,835,824 dated June 1989 to Durham et al;

- U.S. Patent No. 4,844,066 dated July 4, 1989 to Stein:
- U.S. Patent No. 4,988,355 dated January 1991 to Leveen et al.;
- U.S. Patent No. 5,022,126 dated June 1991 to Davis:
- U.S. Patent No. 5,049,153 dated September, 1991 to Nakao et al.;
- U.S. Patent No. 5,099,827 dated March 1992 to Melzer et al.:
- U.S. Patent No. 5,100,420 dated March 31, 1992 to Green et al.;
- U.S. Patent No. 5,159,730 dated November 3, 1992 to Radvin;
- U.S. Patent No. 5,207,692 dated May 4, 1993 to Kraus et al.;
- U.S. Patent No. 5,269,804 dated December 1993 to Bales et al.;
- U.S. Patent No. 5,312,434 dated May, 1994 to Crainich;
- U.S. Patent No. 5,318,528 dated June 7, 1994 to Heaven et al.;
- U.S. Patent No. 5,354,312 dated October, 1994 to Brinkerhoff et al.;
- U.S. Patent No. 5,361,463 dated November, 1994 to Revis;
- U.S. Patent No. 5,383,880 dated January, 1995 to Hooven;
- U.S. Patent No. 5,425,737 dated June 1995 to Burbank et al.;
- U.S. Patent No. 5,439,156 dated August 8, 1995 to Grant et al.;
- U.S. Patent No. 5,474,570 dated December, 1995 to Kockerling et al;
- U.S. Patent No. 5,501,693 dated March 26, 1996 to Gravener;
- U.S. Patent No. 5,575,802 dated November, 1996 to McQuilkin et al.;
- U.S. Patent No. 5,624,379 dated April 29, 1997 to Ganz et al.;
- U.S. Patent No. 5,657,519 dated August 1997 to Smith;
- U.S. Patent No. 5,707,392 dated January 1998 to Kortenbach;
- U.S. Patent No. 5,716,374 dated February, 1998 to Francese et al.;
- U.S. Patent No. 5,769,857 dated June 1998 to Reztzov et al.;
- U.S. Patent No. 5,775,345 dated July 7, 1998 to Chou;
- U.S. Patent No. 5,792,165 dated August 11, 1998 to Klieman et al.;

- U.S. Patent No. 5,865,724 dated February, 1999 to Palmer et al.;
- U.S. Patent No. 5,871,488 dated February, 1999 to Tovey et al.;
- U.S. Patent No. 5,891,156 dated April, 1999 to Gessner et al.;
- U.S. Patent No. 5,897,562 dated April 27, 1999 to Bolanos et al;
- U.S. Patent No. 5,937,488 dated August 1999 to Geiger;
- U.S. Patent No. 6,013,095 dated January, 2000 to Ouchi;
- U.S. Patent No. 6,129,683 dated October, 2000 to Sutton et al.;
- U.S. Patent No. 6,139,555 dated October 31, 2000 to Hart et al.;
- U.S. Patent No. 6,162,233 dated December 2000 to Williamson et al.;
- U.S. Patent No. 6,238,414 dated May, 2001 to Griffiths;
- U.S. Patent No. 6,269,819 dated August, 2001 to Oz et al.;
- U.S. Patent No. 6,352,503 dated March 5, 2002 to Matsui et al.;
- U.S. Patent No. 6,387,041 dated May, 2002 to Harai et al.:
- U.S. Patent No. 6,425,900 dated July, 2002 to Knodel et al.:
- U.S. Patent No. 6,517,555 dated February 11, 2003 to Caro:
- U.S. Patent No. 6,569,085 dated May 27, 2003 to Kortenbach et al.:
- U.S. Patent No. 6,560,488 dated May, 2003 to Crawford;
- U.S. Patent No. 6,578,759 dated June, 2003 to Ortiz;
- U.S. Patent No. 6,824,548 dated November, 2004 to Smith et al.;
- U.S. Patent No. 6,843,794 dated January 18, 2005 to Sixto, Jr. et al.;
- U.S. Patent No. 6,945,979 dated September 20, 2005 to Kortenbach et al.;
- U.S. Patent No. 7,090,685 dated August 15, 2006 to Kortenbach et al.;
- U.S. Patent No. 7,070,602 dated July 4, 2006 to Smith et al.;
- EP 0 748 614 A dated December 18, 1996 to EIS Instruments corresponding to U.S. Patent No. 5,715,604;

US 2001/0056282 dated December 27, 2001 to Sonnenschein et al.:

US 2002/0082621 dated June 2002 to Schurr, et al.;

US 2002/0068935 dated June 2002 to Kortenbach et al.;

US 2002/0104199 dated August 2002 to Chen;

US 2002/198549 A1 dated December 26, 2002 to Sixto, Jr. et al;

US 2002/198537 A1 dated December 26, 2002 to Sixto, Jr. et al;

US 2003/0014065 dated January 2003 to Osterlind;

US 2005/0107809 dated May 2005 to Litscher et al.

"What Endoscopic Accessories Do We Really Need?", C. Paul Swain, Emerging Technologies in Gastrointestinal Endoscopy, Gastrointest. Endosc., Vol. 7, No. 2, pp. 313-330 (April 1997)

Kosmahl, Edmund; University of Scranton – Department of Physical Therapy; <a href="http://academic.uofs.edu/faculty/kosmahle1/courses/pt245/levbicep.htm">http://academic.uofs.edu/faculty/kosmahle1/courses/pt245/levbicep.htm</a>; 2000; pages 1 and 1-3.

Those prior art references that were previously submitted to the U.S. Patent and Trademark Office in any of the parent patent application, Serial No. 10/010,244, filed on December 6, 2001; or in divisional application Serial Nos. 10/010,247 dated December 6, 2001; 10/010,246 dated December 6, 2001; and 10/151,529 dated May 20, 2002, are not submitted herewith in paper copies.

Respectfully submitted.

For Applicants

Date: 6

Gregory L. Mayback Reg. No. 40,719

Mayback & Hoffman, P.A.

5722 S. Flamingo Road # 232

Fort Lauderdale, Florida 33330

Tel.: 954.704.1599 Fax: 954.704.1588

FORM PTO-14 U.S. DEPARTM PATENT AND T	ENT OF	COMMERCE		Attorney Docket No.: Appl. No. 10/010,246  Applicant Robert Sixto, Jr. et al.					
	<b>TEMEN</b>	IT BY APPLICANT CFR 1.98(b))		Filing Date December 6, 2001	Group Art Unit 3731				
EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILI DA	NG TE	
	Α	266,632	10/1882	McCormick					
	В	1,756,670	4/29/30	Treat					
	С	2,246,495	6/41	Alessi et al.					
	D	3,851,359	12/74	Wilson					
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	F	4,346,869	8/82	MacNeill					
	G	4,390,019	6/83	LeVeen et al.					
	Н	4,430,997	2/84	DiGiovanni et al.					
	ı	4,835,824	6/89	Durham, et al.					
		FOREIC	ON PATEN	NT DOCUMENT					
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB CLASS	TRAI YES		
	J	EP 0 748 614 A	12/18/96	EP				.,,,	
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		US 2002/0082621	dated June	2002 to Schurr, et	al.				
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EXAMINER			<del>-</del>			SUB	FILING				
INITIALS		PATENT NO.	DATE	PATENTEE	CLA\$\$	CLASS	DATE				
	Α	4,988,355	1/91	Leveen et al.							
	В	5,022,126	6/91	Davis							
	С	5,049,153	9/91	Nakao et al.							
	D	5,099,827	3/92	Melzer et al.							
	E	5,159,730	11/92	Radvin							
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) US 2002/0068935 dated June 2002 to Koretenbach et al.											
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		US 2002/0104199	dated Aug	just 2002 to Chen							
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		N DISCLOSURE BY APPLICANT			bert Sixto, Jr. et al.				
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EXAMINER INITIALS		PATENT NO.	DA	ΤE	PATENTEE	CLASS	SUB CLASS	FILING DATE	
	Α	5,269,804	12/9	93	Bales et al.				
	В	5,312,434	5/94	1	Crainich				
	С	5,354,312	10/94		Brinkerhoff et al.				
	D	5,361,463	11/94		Revis				
<del>.</del>	E	5,383,880	1/95	5	Hooven				
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		US 2002/19854	9 A1	dat	ted December 26, 2	2002 to Sixt	o, Jr. et al.		
		US 2002/19853	7 A1	dat	ed December 26, 2	2002 to Sixt	o, Jr. et al.		
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EXAMINER					<u> </u>		SUB	FILING			
INITIALS		PATENT NO.	DA		PATENTEE	CLASS	CLASS	DATE			
	Α	5,425,737	6/9	5	Burbank et al.						
	В	5,474,570	12/	95	Kockerling et al.						
	С	5,575,802	11/	96	McQuilkin et al.						
FOREIGN PATENT DOCUMENT  DOCUMENT DATE COUNTRY CLASS CLASS YES   NO NO.											
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)  US 2003/0014065 dated January 2003 to Osterlind											
	"What Endoscopic Accessories Do We Really Need?", C. Paul Swain, Erging Technologies in Gastrointestinal Endoscopy, Gastrointest. Endosc., Vol. 7,No. 2, pp. 313-330 (April 1997)										
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<u> </u>	Α	5,657,519	8/97	Smith						
	В	5,707,392	1/98	Kortenbach						
	С	5,716,374	2/98	Francese et al.						
	D	5,769,857	6/98	Reztzov et al.						
	Е	5,775,345	7/98	Chou						
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	Α	5,865,724	2/99	)	Palmer et al.					
" " "	В	5,871,488	2/99	)	Tovey et al.					
<del>"- "-</del>	С	5,891,156	4/99	)	Gessner et al.					
	D	5,897,562	4/27	7/99	Bolanos et al.					
	Ε	5,937,488	8/99	)	Geiger					
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		US2001/0056	282 c	lated	December 27, 2001	I to Sonnenso	chein et al.			
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	В	6,129,683	10/0	00	Sutton et al.					
	С	6,139,555	10/0	00	Hart et al.					
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	Α	6,162,233	12/		Williamson et al.			
	В	6,238,414	5/0	•	Griffiths			
	C	6,269,819	8/0	1	Oz et al.			
	ם	6,387,041	5/0	2	Harai et al.			
	Е	6,425,900	7/0	2	Knodel et al.			
	F	6,569,085	5/0	3	Kortenbach et al.			
	Ģ	6,560,488	5/0	3	Crawford			
	Н	6,578,759	6/0	3	Ortiz			
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	Α	6,843,794	1/0		Sixto, Jr. et al.			57112
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		FR 1.98(b))		Filing Date December 6, 2001	Group / 3731	Art Unit	
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	Α	4,512,345	4/85	Green		· · · · · · · · · · · · · · · · · · ·	
	В	4,844,066	7/89	Stein			
	С	5,100,420	3/92	Green et al.			
-	D	5,207,692	5/93	Kraus et al.			
	E	5,318,528	6/94	Heaven et al.			
	F	5,439,156	8/95	Grant et al.			
	G	5,501,693	3/96	Gravener		***	
	Н	5,624,379	4/97	Ganz et al.			
		5,792,165	8/98	Klieman et al.			
	J	6,352,503	3/02	Matsui et al.			
	K	6,517,555	2/03	Caro		•	
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